

HONORABLE RICHARD A. JONES

UNITED STATES DISTRICT COURT  
FOR THE WESTERN DISTRICT OF WASHINGTON  
AT SEATTLE

DEI MEI CHEN,

Plaintiff,

v.

J.C. PENNEY CORPORATION, INC.,

Defendants.

NO. 2:13-CV-00970 RAJ

**STIPULATED PROTECTIVE ORDER**

1. PURPOSES AND LIMITATIONS

Discovery in this action is likely to involve production of confidential, proprietary, or private information for which special protection may be warranted. Accordingly, the parties hereby stipulate to and petition the court to enter the following Stipulated Protective Order. The parties acknowledge that this agreement is consistent with LCR 26(c). It does not confer blanket protection on all disclosures or responses to discovery, the protection it affords from public disclosure and use extends only to the limited information or items that are entitled to confidential treatment under the applicable legal principles, and it does not presumptively entitle parties to file confidential information under seal.

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1 This protective order is sought because, in this slip and fall action, Plaintiff Dei Mei  
2 Chen, through her counsel, has requested in discovery production of proprietary information of  
3 Defendant J. C. Penney regarding its internal operations and contracts with service companies  
4 regarding maintenance at Defendant's store. In the interest of complying with pending discovery  
5 requests, Defendant agrees to produce confidential and/or proprietary information subject to the  
6 terms of this protective order.

7 2. "CONFIDENTIAL" MATERIAL

8 "Confidential" material shall include the following documents and tangible things  
9 produced or otherwise exchanged: Any service agreement with any contractor or subcontractor;  
10 any policies, procedures, guides or programs related to implementation, creation and/or enforcement  
11 of safety measures; any policies, procedures, guides or programs related to accident or incident  
12 prevention or investigation; any safety training or other training materials; any assessment  
13 reports and/or audits at the store, district, or corporate level; and including but not limited to the  
14 following: Service Agreement between J. C. Penney and Kimco and exhibits thereto; J. C.  
15 Penney Safety & Environmental Manual; J. C. Penney Associate Safety Guide; Store Safety  
16 Inspection Reports; Store Safety Team Meeting Minutes; and Store Assessment Reports.

17 3. SCOPE

18 The protections conferred by this agreement cover not only confidential material (as  
19 defined above), but also (1) any information copied or extracted from confidential material; (2)  
20 all copies, excerpts, summaries, or compilations of confidential material; and (3) any testimony,  
21 conversations, or presentations by parties or their counsel that might reveal confidential material.  
22 However, the protections conferred by this agreement do not cover information that is in the

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1 public domain or becomes part of the public domain through trial or otherwise.

2 4. ACCESS TO AND USE OF CONFIDENTIAL MATERIAL

3 4.1 Basic Principles. A receiving party may use confidential material that is disclosed  
4 or produced by another party or by a non-party in connection with this case only for prosecuting,  
5 defending, or attempting to settle this litigation. Confidential material may be disclosed only to  
6 the categories of persons and under the conditions described in this agreement. Confidential  
7 material must be stored and maintained by a receiving party at a location and in a secure manner  
8 that ensures that access is limited to the persons authorized under this agreement.

9 4.2 Disclosure of "CONFIDENTIAL" Information or Items. Unless otherwise  
10 ordered by the court or permitted in writing by the designating party, a receiving party may  
11 disclose any confidential material only to:

12 (a) the receiving party's counsel of record in this action, as well as employees  
13 of counsel to whom it is reasonably necessary to disclose the information for this litigation;

14 (b) the officers, directors, and employees (including in house counsel) of the  
15 receiving party to whom disclosure is reasonably necessary for this litigation, unless the parties  
16 agree that a particular document or material produced is for Attorney's Eyes Only and is so  
17 designated;

18 (c) experts and consultants to whom disclosure is reasonably necessary for  
19 this litigation and who have signed the "Acknowledgment and Agreement to Be Bound" (Exhibit  
20 A);

21 (d) the court, court personnel, and court reporters and their staff;

22 (e) copy or imaging services retained by counsel to assist in the duplication of

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1 confidential material, provided that counsel for the party retaining the copy or imaging service  
2 instructs the service not to disclose any confidential material to third parties and to immediately  
3 return all originals and copies of any confidential material;

4 (f) during their depositions, witnesses in the action to whom disclosure is  
5 reasonably necessary and who have signed the “Acknowledgment and Agreement to Be Bound”  
6 (Exhibit A), unless otherwise agreed by the designating party or ordered by the court. Pages of  
7 transcribed deposition testimony or exhibits to depositions that reveal confidential material must  
8 be separately bound by the court reporter and may not be disclosed to anyone except as permitted  
9 under this agreement;

10 (g) the author or recipient of a document containing the information or a  
11 custodian or other person who otherwise possessed or knew the information.

12 4.3 Filing Confidential Material. Before filing confidential material or discussing or  
13 referencing such material in court filings, the filing party shall confer with the designating party  
14 to determine whether the designating party will remove the confidential designation, whether the  
15 document can be redacted, or whether a motion to seal or stipulation and proposed order is  
16 warranted. Local Civil Rule 5(g) sets forth the procedures that must be followed and the  
17 standards that will be applied when a party seeks permission from the court to file material under  
18 seal.

19 5. DESIGNATING PROTECTED MATERIAL

20 5.1 Exercise of Restraint and Care in Designating Material for Protection. Each party  
21 or non-party that designates information or items for protection under this agreement must take  
22 care to limit any such designation to specific material that qualifies under the appropriate

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standards. The designating party must designate for protection only those parts of material, documents, items, or oral or written communications that qualify, so that other portions of the material, documents, items, or communications for which protection is not warranted are not swept unjustifiably within the ambit of this agreement.

Mass, indiscriminate, or routinized designations are prohibited. Designations that are shown to be clearly unjustified or that have been made for an improper purpose (e.g., to unnecessarily encumber or delay the case development process or to impose unnecessary expenses and burdens on other parties) expose the designating party to sanctions.

If it comes to a designating party's attention that information or items that it designated for protection do not qualify for protection, the designating party must promptly notify all other parties that it is withdrawing the mistaken designation.

**5.2 Manner and Timing of Designations.** Except as otherwise provided in this agreement (see, e.g., second paragraph of section 5.2(a) below), or as otherwise stipulated or ordered, disclosure or discovery material that qualifies for protection under this agreement must be clearly so designated before or when the material is disclosed or produced.

(a) **Information in documentary form:** (e.g., paper or electronic documents and deposition exhibits, but excluding transcripts of depositions or other pretrial or trial proceedings), the designating party must affix the word "CONFIDENTIAL" to each page that contains confidential material. If only a portion or portions of the material on a page qualifies for protection, the producing party also must clearly identify the protected portion(s) (e.g., by making appropriate markings in the margins).

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(b) Testimony given in deposition or in other pretrial or trial proceedings: the parties must identify on the record, during the deposition, hearing, or other proceeding, all protected testimony, without prejudice to their right to so designate other testimony after reviewing the transcript. Any party or non-party may, within fifteen days after receiving a deposition transcript, designate portions of the transcript, or exhibits thereto, as confidential.

(c) Other tangible items: the producing party must affix in a prominent place on the exterior of the container or containers in which the information or item is stored the word "CONFIDENTIAL." If only a portion or portions of the information or item warrant protection, the producing party, to the extent practicable, shall identify the protected portion(s).

5.3 Inadvertent Failures to Designate. If timely corrected, an inadvertent failure to designate qualified information or items does not, standing alone, waive the designating party's right to secure protection under this agreement for such material. Upon timely correction of a designation, the receiving party must make reasonable efforts to ensure that the material is treated in accordance with the provisions of this agreement.

## 6. CHALLENGING CONFIDENTIALITY DESIGNATIONS

6.1 Timing of Challenges. Any party or non-party may challenge a designation of confidentiality at any time. Unless a prompt challenge to a designating party's confidentiality designation is necessary to avoid foreseeable, substantial unfairness, unnecessary economic burdens, or a significant disruption or delay of the litigation, a party does not waive its right to challenge a confidentiality designation by electing not to mount a challenge promptly after the original designation is disclosed.

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1           6.2    Meet and Confer. The parties must make every attempt to resolve any dispute  
 2 regarding confidential designations without court involvement. Any motion regarding  
 3 confidential designations or for a protective order must include a certification, in the motion or in  
 4 a declaration or affidavit, that the movant has engaged in a good faith meet and confer  
 5 conference with other affected parties in an effort to resolve the dispute without court action. The  
 6 certification must list the date, manner, and participants to the conference. A good faith effort to  
 7 confer requires a face-to-face meeting or a telephone conference.

8           6.3    Judicial Intervention. If the parties cannot resolve a challenge without court  
 9 intervention, the designating party may file and serve a motion to retain confidentiality under  
 10 Local Civil Rule 7 (and in compliance with Local Civil Rule 5(g), if applicable). The burden of  
 11 persuasion in any such motion shall be on the designating party. Frivolous challenges, and those  
 12 made for an improper purpose (e.g., to harass or impose unnecessary expenses and burdens on  
 13 other parties) may expose the challenging party to sanctions. All parties shall continue to  
 14 maintain the material in question as confidential until the court rules on the challenge.

15   7.    PROTECTED MATERIAL SUBPOENAED OR ORDERED PRODUCED IN  
 16       OTHER LITIGATION

17           If a party is served with a subpoena or a court order issued in other litigation that compels  
 18 disclosure of any information or items designated in this action as “CONFIDENTIAL,” that  
 19 party must:

20           (a)    promptly notify the designating party in writing and include a copy of the  
 21 subpoena or court order;

22           (b)    promptly notify in writing the party who caused the subpoena or order to

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1 issue in the other litigation that some or all of the material covered by the subpoena or order is  
2 subject to this agreement. Such notification shall include a copy of this agreement; and

3 (c) cooperate with respect to all reasonable procedures sought to be pursued  
4 by the designating party whose confidential material may be affected.

5 8. UNAUTHORIZED DISCLOSURE OF PROTECTED MATERIAL

6 If a receiving party learns that, by inadvertence or otherwise, it has disclosed confidential  
7 material to any person or in any circumstance not authorized under this agreement, the receiving  
8 party must immediately (a) notify in writing the designating party of the unauthorized  
9 disclosures, (b) use its best efforts to retrieve all unauthorized copies of the protected material,  
10 (c) inform the person or persons to whom unauthorized disclosures were made of all the terms of  
11 this agreement, and (d) request that such person or persons execute the "Acknowledgment and  
12 Agreement to Be Bound" that is attached hereto as Exhibit A.

13 9. INADVERTENT PRODUCTION OF PRIVILEGED OR OTHERWISE PROTECTED  
14 MATERIAL

15 When a producing party gives notice to receiving parties that certain inadvertently produced  
16 material is subject to a claim of privilege or other protection, the obligations of the receiving parties  
17 are those set forth in Federal Rule of Civil Procedure 26(b)(5)(B). This provision is not intended to  
18 modify whatever procedure may be established in an e-discovery order or agreement that provides  
19 for production without prior privilege review. Parties shall confer on an appropriate non-waiver  
20 order under Fed. R. Evid. 502.

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22 ///

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10. NON TERMINATION AND RETURN OF DOCUMENTS

Within 60 days after the termination of this action, including all appeals, each receiving party must return all confidential material to the producing party, including all copies, extracts and summaries thereof. Alternatively, the parties may agree upon appropriate methods of destruction.

Notwithstanding this provision, counsel are entitled to retain one archival copy of all documents filed with the court, trial, deposition, and hearing transcripts, correspondence, deposition and trial exhibits, expert reports, attorney work product, and consultant and expert work product, even if such materials contain confidential material.

The confidentiality obligations imposed by this agreement shall remain in effect until a designating party agrees otherwise in writing or a court orders otherwise.

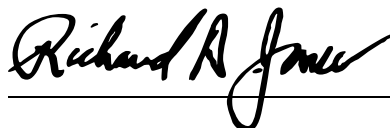
IT IS SO STIPULATED, THROUGH COUNSEL OF RECORD.

DATED: 4/3/2014 /s/ Ronald L. Unger  
Ronald L. Unger, WSBA #16875  
Attorneys for Plaintiff

DATED: 4/10/2014 /s/Kristen Dorrity  
Kristen Dorrity, WSBA#23674  
Attorneys for Defendant

PURSUANT TO STIPULATION, IT IS SO ORDERED.

DATED this 16<sup>th</sup> day of April, 2014.



The Honorable Richard A. Jones  
United States District Judge

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EXHIBIT A

ACKNOWLEDGMENT AND AGREEMENT TO BE BOUND

I, \_\_\_\_\_ [print or type full name], of  
\_\_\_\_\_ [print or type full address], declare under penalty of  
perjury that I have read in its entirety and understand the Stipulated Protective Order that was issued  
by the United States District Court for the Western District of Washington on [date] in the case of  
Dei Mei Chen v. J. C. Penney, Inc., United States District Court for the Western District of  
Washington, Cause No. 2:13-CV-00970 RAJ. I agree to comply with and to be bound by all the  
terms of this Stipulated Protective Order and I understand and acknowledge that failure to so  
comply could expose me to sanctions and punishment in the nature of contempt. I solemnly promise  
that I will not disclose in any manner any information or item that is subject to this Stipulated  
Protective Order to any person or entity except in strict compliance with the provisions of this  
Order.

I further agree to submit to the jurisdiction of the United States District Court for the  
Western District of Washington for the purpose of enforcing the terms of this Stipulated Protective  
Order, even if such enforcement proceedings occur after termination of this action.

Date: \_\_\_\_\_

City and State where sworn and signed: \_\_\_\_\_

Printed name: \_\_\_\_\_

Signature: \_\_\_\_\_

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